



1 Review

## 2 Cell-based methods for benefits of neurology and cardiology: 3 shared hurdles and achievements

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**Abstract:** From the first success in cultivation of cells in vitro, it became clear that developing cell and/or tissue specific cultures would widely open myriad of new opportunities for medical research. Expertise in various in vitro models has been developing over decades, so nowadays we benefit from highly specific in vitro system imitating every organ of human body. Moreover, obtaining sufficient amount of standardized cells allow for cell transplantation approach with the goal to improve regeneration of injured/disease affected tissue. However, various cell types bring various needs and place various types of hurdles. In this review, written by European experts gathered in Cost European action dedicated to neurology and cardiology - Bioneca, we present experience collected by sharing specific needs coming from focus on two rather different organs: brain and heart. When taken into account that diseases of those two organs, mostly ischemic in their nature (stroke and heart infarction) bring far the largest burden of medical systems around Europe, it is not surprising that in vitro cellular and tissue models of nervous system and heart muscle were in the focus of biomedical research in the last decades. Moreover, results of clinical trials in which cells are transplanted into brain and heart are waited and commented with uppermost interest. It is interesting to observe that some hurdles which still impair further progress are common to both fields, while some are rather specific. In this review, we discuss those elements and offer methodological solutions which can help each other fields to accelerate their development.

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**Keywords:** stem cells; regenerative neuroscience; brain regeneration; neurology, cardiology, myocardial regeneration, clinical trials

## 1. Induced pluripotent stem cells – flying start boosting in vitro models of nervous system and heart

The pioneer studies of Yamanaka and his group yielded protocols for obtaining induced pluripotent stem cells (iPSCs), thus providing the opportunity to dedifferentiate any cell to pluripotent state and, equally important, to obtain autologous, patient-specific cells<sup>1,2</sup>. iPSCs are generated from somatic cells, which have been reprogrammed to acquire pluripotency and have the unique capabilities of self-renewal, proliferation, and differentiation<sup>3</sup>. Since iPSCs can give rise to virtually any cellular lineage, an important application of iPSC technology is the *in vitro* differentiation of specialized cells, like neurons and cardiomyocytes. This is then used for the investigation of a specific tissue, including both fully differentiated cells and their precursors. Such an approach paved the way for promising advances in patient-specific disease modelling, drug screening, and cell-based therapies without the risk of immune rejection<sup>3,4,5,6</sup>.

## 2. iPSC-derived cardiomyocytes

The course of cardiomyocytes differentiation is validated using molecular markers for different stages of development, as well as investigating their beating capacity, electrophysiology and metabolism<sup>7,8,9</sup>. To date, there are different available methods to differentiate iPSC in cardiomyocytes, generating a mixed population of cardiac cells, as ventricular-, atrial- and pacemaker-like cardiomyocytes<sup>10</sup>. Currently, most of the existing protocols produce ventricular cardiomyocytes more efficiently than the other cardiac cell types<sup>8,11,12,13</sup>. Cardiomyocytes differentiation consists of two major steps: firstly, growth factors (e.g. activin A, BMP2) or small molecules (e.g. CHIR99021) activate the Wnt signalling, allowing the mesoderm (*Nkx2.5*<sup>+</sup>, *Gata4*<sup>+</sup>, *Mesp1*<sup>+</sup>) induction<sup>8,14,15,16</sup>. Secondly, small molecules (e.g. dorsomorphin) or Wnt inhibitors (e.g. IWR-1, IWP-2) are used to enhance the cardiac lineage specification and differentiation (*cTnT*<sup>+</sup>, *Myh6*<sup>+</sup>, *Tnni3*<sup>+</sup>)<sup>14,16,17</sup>. Based on the research aim, there are methods to purify and isolate only ventricular- (*Hey2*<sup>+</sup>, *Mlc2v*<sup>+</sup>) or atrial-like cell population (*Kcnj3*<sup>+</sup>, *Kcnj5*<sup>+</sup>, *Cacna1d*<sup>+</sup>)<sup>8,13,18,19,20,21</sup>. On the other hand, the pacemaker-like cardiomyocytes are still difficult to obtain *in vitro*. So far, the inhibition of neuregulin1 $\beta$ /ErbB signalling seems the most efficient way to enrich the sinoatrial node cells population (*Hcn4*<sup>+</sup>, *Tbx3*<sup>+</sup>, *Tbx18*<sup>+</sup>), and only recently it has been hypothesized that modulating the Wnt signalling through Nodal inhibition may promote the pacemaker cells fate<sup>22,23,24</sup>.

## 3. Specific requirements for in vitro heart muscle model

Most methods are generating iPSC-derived cardiomyocytes, which correspond to the foetal-like state concerning their functional and physiological characteristics<sup>25</sup>. A very specific challenge is to obtain more mature cardiomyocytes, and several methods are

89 currently available<sup>26</sup>. Compared to immature counterparts, these adult-like  
90 cardiomyocytes metabolise fatty acids, display a high mitochondrial mass, well-arranged  
91 sarcomeres, and higher contraction force.

92 Another critical cell subtype of the cardiovascular system is those forming the  
93 organotypic vasculature<sup>27</sup>. The generation of these endothelial cells should also rely on  
94 organ-specific differentiation protocols, where functional readouts can validate the  
95 efficacy and quality of the production. Their specific function comprises barrier-forming  
96 continuous layers, a specific vasoactive and growth factor secretion profile and  
97 thrombogenic properties<sup>27,28</sup>. Most importantly, being more than a passive conduit,  
98 prevascularisation by these endothelial cells can support the long-term survival and  
99 instruct the contractility and other functions of adjacent cardiomyocytes within the *in*  
100 *vitro* generated multicellular constructs. To establish vascularisation, pluripotent stem  
101 cell-derived endothelial cells show a remarkable capacity to self-organise into functional  
102 microvasculature, like cardiac capillaries, thereby providing sufficient perfusion  
103 throughout the cell constructs with a substantial thickness<sup>29</sup>.

104 When the current state of the art is taken in account, the most promising approach is  
105 cultivating cardiomyocytes in 3D form. It comes very close to heart's unique  
106 cytoarchitectural arrangement and to an even higher level of similarity to the original  
107 tissue, with the ultimate goal to establish a heart-on-a-chip model<sup>11,30</sup>. This scaffold-based  
108 approach can mimic the patient-specific anatomical microstructure and composition of  
109 the human heart and vessels and generate responsive constructs to study intact tissue-  
110 level cardiovascular physiology. Interaction between cells and the cardiovascular  
111 extracellular niche and matrix constituents leads to activation of physiological underlying  
112 mechanisms and responsiveness to mechanical, electrical and pharmacological cues. Thus,  
113 multicellular microtissue may prove useful for many cell-based applications, like  
114 cardiotoxicity assessment and modelling myocardial infarction in a dish<sup>31</sup>. However,  
115 comparing structural, mechanical, and biological properties of these structures head-to-  
116 head with perfused intact tissues like myocardial and vascular slices and wedges is still  
117 warranted. Interestingly, iPSCs obtained from cardiac sources suggest an improved  
118 differentiation capacity *in vitro* and possibly a higher degree of maturation of  
119 cardiomyocytes. In this regard, the epigenetic memory of somatic cell source may play a  
120 fundamental role<sup>32,33</sup>.

#### 121 4. iPSC-derived neurons

122 iPSCs can be differentiated into several specialized cellular subtypes with functional  
123 characteristics that are representative of those found in the brain, such as dopaminergic  
124 neurons, cortical neurons and neuroglia<sup>34</sup>.

125 The *in vitro* neuroectodermal induction of iPSCs, initiated *via* the dual SMAD  
126 inhibition method, results in the efficient generation of neural rosettes comprised of  
127 neuronal stem cells (NSCs) (*Sox1*<sup>+</sup>, *Nestin*<sup>+</sup>) that represent a cross-section of the neural tube.  
128 These NSCs can then be passaged, producing neural progenitor cells (NPCs) which can  
129 be stably maintained in culture<sup>35</sup>. A neuronal differentiation medium can then be applied  
130 to NPCs, which can be plated and further differentiated into more mature neuronal

(*Map2<sup>+</sup>*, *TH<sup>+</sup>*, *SLC18A3<sup>+</sup>*) and glial cultures, which can include astrocytes (*AQP4<sup>+</sup>*, *s100β<sup>+</sup>*) and oligodendrocytes (*NG2*, *Olig1/2*, *NBP*)<sup>36,37</sup>.

The neuronal differentiation of iPSCs provides patient-specific cells of neural lineage, opening possibilities for developing therapeutics, analysing drugs, and studying the underlying mechanisms of neurological pathologies. It is possible to differentiate iPSCs into NSCs in a 2D setting, which can include primitive and neural rosette-type NSCs<sup>38,39</sup>. Neuronal differentiation can be undertaken in a 3D setting involving the generation of neurospheres, utilizing potentially artificial scaffolding or extra-cellular matrix (ECM) materials that are continuously under optimization to recapitulate the anatomical organisation of the brain<sup>40,41</sup>. Persistent advances in the methodology used to obtain *in vitro* brain tissue from iPSCs led to the development of 3D brain-organoids from embryoid bodies<sup>42</sup>. These organoids have been demonstrated to consist of several distinctive brain regions and intricate heterogenous tissues that can mimic the sophisticated architecture of the central nervous system<sup>43,44</sup>. It is worth noting, however, that as the complexity of these 3D cultures improves, so does their variability and heterogeneity. Therefore, improved methods of high content analysis will be required to determine the phenotypic characteristics of these cultures with multidimensional readouts<sup>45</sup>.

There are many issues being investigated concerning the source, quality, stability, safety and scalability of human iPSC and derivative cell production for various purposes.

Concerning the somatic cell source, pre-existing mutations acquired during the lifetime of the donor are more frequent in skin samples than in bone marrow, and very early life stage sources, for example from umbilical cord blood banks, have much less of these potentially adverse events<sup>46,47,48,49</sup>. However, during the reprogramming, maintenance and scaling-up of iPSC cultures further mutations, including chromosomal rearrangements, can happen which need to be monitored, especially in case of further clinical use<sup>50,51,52</sup>. The process of adaptation to the *in vitro* culture conditions favours some chromosomal rearrangements occurring more frequently<sup>53</sup>. Development of culture conditions lessening this event and advanced quality control methods is an important direction of stem cell banking and a key towards clinical applicability. Major public and private investments created human pluripotent stem cell banks with many cell lines from different ethnic and patient groups, yet many of them have not been consented for industrial use and most of them have not been generated for clinical grade applications – these are potential hurdles to overcome if clinical applications are needed. The distribution of existing cell lines among ethnic groups is unbalanced, but more nations developing their own stem cell banks are gradually overcoming this ambiguity.

## 5. Specific requirements for in vitro nervous tissue model

While heart muscle is rather uniformly structured tissue not depending a lot on microanatomic region, nervous tissue brings inherent complexity stemming from various regions with various cell subtypes and various functions. Thus when cultivating cells of the nervous system *in vitro*, one can distinguish many types of cultures, existing in a range

172 from mixed spontaneously differentiated and heterogenous cultures to those ones in  
173 which selection of one subtype of cells is preferred (e.g. motoric neurons, cholinergic  
174 neurons, mixed glia-neuronal cultures, astrocytes, sensoric nerons, etc). Sometimes those  
175 experiments even include chimeric interspecies cultures<sup>54</sup>. Another important question  
176 bringing complexity to the next level is if nervous system can be at all investigated  
177 focusing on only one specific type of cells or a specific region of the system, e.g. brain  
178 cortex. Major function of the nervous system is to achieve well coordinated interaction  
179 between various regions and receiving and transmitting messages is crucial for the  
180 nervous system physiology.

181 Thus, rather different than in heart muscle, it is important to well define starting  
182 point with all advantages and limitations clearly accepted. Two dimensional cultures of  
183 nervous tissue brought numerous pioneering discoveries on cellular level, but their value  
184 in understanding higher order of cellular coordination is very limited. Thus, even more  
185 then in heart muscle, 3D cultures of nervous tissue are required for all the research aiming  
186 to elucidate physiological and pathological events occurring in interaction between cells.

## 187

## 188 **6. Brain organoids**

189 While stem cells platforms based on 2D culture are successfully used for modeling  
190 of human development and disease at the cellular and molecular levels, they lack the  
191 conditions imitating spatial and temporal signaling and the interactions of the cells in a  
192 natural niche. These limitations of *in vitro* culture might be resolved by the application of  
193 biomimetic 3D solutions, especially by combining microenvironmental bioengineering  
194 with the intrinsic capacity of pluripotent stem cells to build up 3D structures<sup>55</sup>. The  
195 intrinsic ability of pluripotent stem cells to self-organize under 3D *in vitro* culture  
196 conditions into highly structured tissue patterns, opened the era of "brain organoids"<sup>43,56</sup>.  
197 Yoshiki Sasai with colleagues were the first to obtain *in vitro* from human pluripotent stem  
198 cells, highly patterned neural structures resembling muti-layered brain cortex, using  
199 SFEBq (serum-free floating culture of EB-like aggregates with quick re-aggregation)  
200 protocol<sup>56</sup>. Further developments from Jourgen Knoblich group brought about advanced  
201 bran-like 3D *in vitro* structures with identified regions of cerebral cortex, retina, meninges  
202 and chordoid plexus. They were shown to recapitulate *in vitro* important stages of the  
203 prenatal human brain development with functional nervous tissue cell types and cortical  
204 layer architecture, thus offering an unprecedented model for investigating human  
205 neurodevelopmental and neurodegenerative diseases<sup>57</sup>. Multimodal Single-Cell Analysis  
206 (single cell RT-qPCR and functional-microfluidic linked single cell RT-qPCR) of cerebral  
207 organoids cultured for more than nine months revealed high level of neuronal and glial  
208 cells diversity and their functionality with identified cell-type specific responsiveness to  
209 neurotransmitters and spontaneous action potential activity<sup>58</sup>.

210 Brain organoid system appeared feasible to model early human neurodevelopment  
211 and its pathology, however it has anatomical and functional limitations to study later  
212 developmental stages due to the lack of the correct neuronal network connectivity and

213 vascularization. Much work in the field has been addressed to overcome these limitations  
214 with two parallel, but interdependent, directions: the first is focused on developing new  
215 protocols to generate replicas of multiple brain regions (development of “directed”,  
216 region specific organoids), the second is based on constricting regulatory control of the  
217 system through bioengineering approaches.

218 Apart from diseases modeling, brain organoid technology can be personalized for  
219 diagnostic or therapeutic purposes if patient-specific hiPSC are applied<sup>59,60</sup>. Whole brain  
220 (cerebral) patient-derived organoids were used to model microcephaly, macrocephaly  
221 (Sandhoff disease), periventricular heteroplasia, schizophrenia, Alzheimer Disease and  
222 other neural disorders<sup>59,61,62</sup>. Brain region specific organoids, e.g. forebrain to study autism  
223 spectrum disorders, or midbrain to study sporadic or idiopathic form of Parkinson’s  
224 Disease have been already obtained<sup>63,64</sup>. The possible future therapeutic applications will  
225 require combining molecular and cellular treatment. In that line gene-editing approach  
226 was used to obtain “healthy/repared” organoids by producing isogenic CRISPER/CAS9  
227 engineered patient-derived iPSCs, as was shown for Sandhoff disease<sup>65</sup>.

## 228

## 229 **7. Sources of cells for transplantation into nervous and heart tissue**

230 Cellular therapy refers to the use of cells as medical product to treat human disorders  
231 that have not alternative efficient pharmacological therapies. Stem cell therapy has thus a  
232 valuable potential in the treatment of brain and heart diseases through cell replacement  
233 and stimulation of endogenous repair systems. Stem cells of diverse origin (embryonic  
234 stem cells, mesenchymal stem cells, induced pluripotent etc.) are candidates with great  
235 potential for translation. Here we focus on two most often used stem cell type for diseases  
236 of brain an heart: neural and mesenchymal stem cells.

238 Neural stem cells are pluripotent cell population, expressing markers nestin and  
239 Nop2<sup>66</sup> already inclined towards differentiation into neurons and glia. Process of forming  
240 adult cells of the nervous system, neurogenesis is a process in which neurons are  
241 generated through the division of NPCs and their differentiation into neuron-specific  
242 progenitors. They give rise to immature neurons. They subsequently develop into fully  
243 functional and mature neurons which integrate into and modify existing neuronal  
244 networks. In gliogenesis, NPCs differentiate into glial progenitors, which differentiate  
245 into astrocytes, oligodendrocytes and ependymal cells.

246  
247 Mesenchymal stem cells (MSC) are defined as a heterogeneous subset of stromal cells  
248 that can be easily isolated from many adult tissues and possess multilineage potential, i.e.,  
249 ability to differentiate into cells of the mesodermal lineage, such as adipocytes, osteocytes,  
250 chondrocytes, and myocytes<sup>67</sup>. Actually, the multilineage potential od MSCs hallow them  
251 to differentiate into neuron-like cells, which show molecular and cellular characteristics  
252 of neurons. Besides this transdifferentiate process that derives ectodermal from  
253 mesodermal derived cells, the possibility to isolate, culture and differentiate MSC derived

254 from dental ligament that are neural crest derived cells with progenitor molecular profile,  
255 opening de possibility to derive neurons from these progenitors that have natural  
256 neuronal potentiality<sup>68-70</sup>.

## 258 7. Cell transplantation for heart ischemia

259 Heart failure and its direct consequences represent the leading cause of death  
260 worldwide<sup>71</sup>. Although heart transplantation developed substantially in the last decades,  
261 there are not enough donors which would satisfy all the needs. Moreover, heart  
262 transplantation is a very complex and expensive procedure that afterwards require  
263 lifelong immunosuppression. The mechanism by which transplantation of stem cells into  
264 infarcted heart leads to health improvement is not yet completely understood. The most  
265 straightforward expectation would be that transplanted stem cells form new myocardial  
266 cells with the capability to contract. However preclinical and clinical trials revealed at least  
267 two hurdles in this theoretically simple approach: first, transplanted cells survive very  
268 briefly, so differentiation into myocardial cells is not sufficient. Second, if maturation  
269 occurs, coupling with the host myocardium is not successful. As a result, arrhythmia is a  
270 very common side effect of such an approach<sup>72</sup>.

271  
272 Preclinical studies focusing on acute infarction reported beneficial effects<sup>73</sup>, while  
273 those aiming to improve chronic ischemia were not so successful<sup>74</sup>.

274  
275 With that said, attention has shifted from the capacity of forming new  
276 cardiomyocytes towards secreting factors that improve the condition of damaged  
277 myocardium. Reported mechanisms include immune modulatory action which promotes  
278 endogenous cardiac repair. Also, it has been shown that stem cells transplanted into the  
279 heart secrete cytokines, with rather significant anti-apoptotic effect. One of the most  
280 positive effects observed after myocardial infarction is achieved by IL-10, which improves  
281 survival and function of myocardial muscle.

282  
283 There are many clinical trials which assessed the efficiency of stem cells for acute  
284 myocardial infarction. However, their results are rather heterogenous. Those ones which  
285 focused on myocardial contractility and ventricular remodeling did not find statistically  
286 significant improvement. However, significant improvements were found when a longer  
287 follow-up was taken into account, ranging from one to three years (Cong et al., 2015;  
288 Henry et al., 2017). Most importantly, ejection fraction was regularly improved and even  
289 ventricular remodeling was shifted in a positive direction.

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291  
292 More detailed analyses of the heart muscle tissue after transplantation revealed that  
293 transplanted cells even after their disappearance boosted improvements. They reduced  
294 inflammation and stimulated vascularization for a long period, reaching up to few years<sup>75</sup>.

Thus it became clear that unlike pharmacologic and surgical approaches, cell therapy can stimulate endogenous tissue regeneration to reverse worsening cardiac dysfunction.

Table 1.

<b>Diagnoses</b>	<b>Requirements from cells</b>
Ischemic heart disease	Reduces myocardial necrosis, promote myogenesis
Non-ischemic Cardiomyopathy	Cell survival improvement in idiopathic dilated cardiomyopathy
Diabetic Cardiomyopathy	Prevents apoptosis Reduces myocardial fibrosis Improve overall cardiac function
Stem Cells in Pediatric Heart Failure	Source of new viable cardiomyocytes originated from somatic stem cells
Cardiac Tissue Engineering	Stimulates cell attachment and migration Source of biochemical factors Regulates diffusion of nutrition and thus cellular physiology and metabolism

## 8. Specific requirements for further improvement of cell-based therapy of heart diseases

Future developments needed to boost cell-based therapy of heart diseases include nanotechnologies and bioengineered platforms, where stem cells are preconditioned to resist their implantation into a highly stressed myocardial tissue. Basically this approach consists of the development of bioactive membranes made of two integrated materials: (a) one nanofiber matrix made out of self-assembling peptides with molecule-release capacity (for growth factors such as VEGF and FGF), and (b) contained in a microscale elastomeric scaffold that provides the mechanical framework (elastic, loading) that will match the cardiac tissue mechanics. Both are essential to promote local angiogenesis in a necrotic affected tissue as well as its regeneration.



315 In many congenital heart diseases neonatal ventricles demonstrate a number of  
316 intrinsic pathologic modifications, including relative immaturity of the extra-cellular  
317 matrix, inappropriately low transcription factor expression and increased myocyte  
318 apoptosis, this should open the way for the evaluation of treatments associating tissue  
319 engineering with cells implants. It seems that the main mechanisms by which cell  
320 transplantation and tissue engineering can bring functional benefits in myocardial  
321 diseases is that this implanted material should provide a supporting 'band-aid'  
322 scaffolding effect, which can limit the spread of the pathologic area, preventing excessive  
323 remodeling and dilatation of the ventricle.

324  
325 Emerging biomimetic technologies include 3D printing and additive manufacturing.  
326 For heart healing applications, 3D-printed porous poly-caprolactone (PCL) elastomeric  
327 scaffolds represent a promising material functionalized with bio-additives such as stem  
328 cells, exosomes and angiogenic growth factors. Cardiopatch and Cardiowrap ventricular  
329 support bioprotheses were able to integrate in the damaged myocardium and the  
330 adjacent healthy heart, becoming artificial extracellular matrix that offers adequate cell  
331 niches for the homing of stem cells. These approaches are expected to substantially  
332 contribute to the generation of Bioartificial Myocardium, deserving clinical translation for  
333 the treatment of ischemic heart disease and chronic heart failure, avoiding the indication  
334 for heart transplantation.

### 335 336 **9. Cell transplantation for diseases of the nervous system**

337 The limited neurogenesis capacity in the brain makes neurological conditions  
338 difficult to treat. That's why cell transplantation approach is intensively tested for  
339 neurological diseases.

340  
341 Post-ischemic acute brain injury typically peaks within 24 h of the insult, and reaches  
342 the high point within 48 h. Due to the quick onset and short duration of acute brain injury,  
343 potential neuroprotective therapies need to be administered early, i.e. within 3–6 h of the  
344 onset. This has proven to be challenging in the clinical practice. Any treatment outside of  
345 the 48 h window will offer a limited neuroprotection, and could instead be mainly  
346 restorative, targeting angiogenesis, vasculogenesis, neurogenesis, and synaptogenesis<sup>76,77</sup>.  
347 Finding a therapeutic approach that would delay the progressive secondary  
348 neurodegeneration will also benefit stroke survivors. To date, most cell transplantation  
349 studies have been conducted on animals during acute phase of post-ischemic injury,  
350 leaving chronic time points understudied.

351  
352 It has already been shown that in addition to anti-inflammatory, anti-oxidative and  
353 anti-apoptotic effects, transplanted cells also secrete various factors acting  
354 neurotrophically exhibiting neuroregenerative effects<sup>78,79</sup>.

356           Upon optimized dose regime and the route of administration, the use of stem cells  
357 shows benefits in both the acute and subacute phase, as well as in the chronic phase of  
358 cerebral ischemia<sup>80,81</sup>. Similar has been observed in other diseases with neuroinflammatory  
359 componente, like amyotrophic lateral sclerosis or multiple sclerosis. Since a higher degree  
360 of neuroinflammation is present in the acute and subacute phase of cerebral ischemia, in  
361 these phases it is necessary to use higher doses (10-1200 million cells) and to choose less  
362 invasive ways of stem cell application, such as intravenous, intra-arterial, intranasal and  
363 intraperitoneal<sup>80,82,83</sup>. In these phases, various stem cells have shown positive effects so far.  
364 In acute phase (1-3 days after stroke): mesenchymal stem cells (MSCs) and human  
365 mononuclear cells (MNCs), human embryonic stem cells (hESCs), human neural stem  
366 cells (hNSCs), and multipotent adult progenitor cells (MAPC) were used<sup>80,84,85</sup>. In subacute  
367 phase (7 days after stroke): autologous CD34+ stem/progenitor cells and BMSCs were  
368 used<sup>80,86</sup>. In the chronic phase (weeks, months, years) after stroke the smaller doses of stem  
369 cells were used (1-5 million cells), albeit with more invasive application methods  
370 (intracerebral and intraventricular) in order to allow greater bioavailability of injected  
371 cells near the affected brain region<sup>77,87</sup>.

372  
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374  
375           In the last two decades more than 70 clinical trials with stem cells for brain diseases  
376 have been successfully finished finished, but unfortunately no definitive efficacy trials  
377 have been concluded and currently there is still no approved cell therapy for neurological  
378 diseases. When talking about stroke, as the most common disease of the brain, various  
379 approaches were taken. Not entering into details of various type of stem cells and routes  
380 of cell delivery, all trials of Phase 1 and 2 reported safety and visibility. It is interesting to  
381 mention that one of the very first trials performed in 2005 in South Korea with 30 patients  
382 with cerebral infarct, who received IV infusion of autologous MSC reported a significant  
383 reduction in mortality within five years of stroke incidence compared to patients who did  
384 not receive MSC transplantation<sup>88</sup>. In clinical settings, the recipients of allogeneic MSCs  
385 demonstrated long-lasting or transient neurological improvement. Additionally,  
386 allogeneic MSC infusion was associated with a short term decrease in circulating T cells  
387 and inflammatory cytokines<sup>89</sup>. The implantation of SB623 to the sites surrounding the  
388 subcortical stroke region was safe and accompanied by improvements in neurological  
389 recovery in 12 patients in a 2-year study<sup>90</sup>. At this stage, clinically confirmed beneficial  
390 effects were shown by CTX0E03 cells (hNSCs) administered one month after cerebral  
391 ischemia (a single intracerebral dose of up to 20 million cells) and SB623 (allogeneic MSCs)  
392 administered several times with 2.5, 5, and 10 million cells for a period of 6–60 months  
393 after stroke<sup>80,91</sup>. As the systemic inflammatory response is a major pathological component  
394 in secondary post-ischemic cell death<sup>92</sup>, including some specific types of death, like  
395 necroptosis<sup>93</sup>, stem cell transplantation should to be the therapy of choice to reduce  
396 neuroinflammatory effects and help stroke outcomes. Considerable number of clinical  
397 trials with stem cell therapy for stroke is currently underway. Clinical trials should

398 include patient's co-morbidities which also can affect the efficacy and effectiveness of a  
399 cell therapy.

400  
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403 MSC are considered a harmless cell source for clinical therapy, as they can be safely  
404 harvested from and transplanted into patients, have low immunogenicity<sup>94</sup>. Additionally,  
405 MSC are capable to migrate towards lesioned areas upon attraction signals by certain  
406 chemokines, suggesting their potential use as vehicles for therapeutic agent's delivery<sup>95</sup>.  
407 Therefore, as therapeutic agents have multiple modes of action, including cell  
408 replacement, immunologic and metabolic properties; showing a pleiotropic activity that  
409 modify the tissues response to injuries and activate restorative mechanisms that improve  
410 organ function. Intense interchange of active cellular products between MSC and resident  
411 cells have been proved, demonstrating the potential of MSC secretome to active paracrine  
412 mechanisms of tissue trophism and immunomodulation<sup>96</sup>. Moreover, organelle  
413 interchange has been proved, including vesicular traffic (exosomes, microvesicles, etc),  
414 where in addition to the vesicular cargo, MSC inject membrane (carrying protein  
415 membrane complexes, receptors, ion channels, etc.) into host cells<sup>97</sup>.

416  
417 MSCs from Bone Marrow had been widely used in clinical trials for neurological  
418 diseases. They demonstrated to be safe but their effects were not always consistent as  
419 preclinical studies suggested, which may be due to poor survival in disease environments  
420 and/or because inappropriate therapeutic dosage and route of delivery or inconsistent  
421 trial design<sup>98-101</sup>

422  
423  
424 In some studies, MSC treated ALS patients displayed a slight and transient decline  
425 in disease progression<sup>102</sup>. Interestingly, postmortem evaluation of ALS patients treated  
426 with MSCs showed that a more significant number of motor neurons were preserved at  
427 the height of the spinal cord area where the cells were administered, compared to other  
428 spinal sites<sup>103</sup>.

## 429 430 **10. Specific requirements for further improvement of cell based therapy of brain dis-** 431 **eases**

432 When one analyzes more than 300 publications reporting transplantation of cells in animal  
433 models and more than 70 clinical trials, some common breakthroughs and some common  
434 obstacles come to surface. First of all, dogma that transplanted cells need to integrate and  
435 survive for a longer period is not only seen as obsolete, but in some cases is even too much  
436 stressed. It became obvious that in the tissue with so strong mechanisms developed by  
437 evolution which recognize new cells within a nervous tissue as an alien object, one need  
438 to focus on cell products which are anyways secreted in large quantities by many cell

439 types. Secreted growth factors, short sequences of RNA in various forms and still yet to  
440 be discovered components, often packed in discrete form of extracellular vesicles  
441 obviously have a very strong and beneficial influence. So, it became clear that we need to  
442 focus on recognizing those beneficial products, to discover mechanism by which they  
443 improve regeneration and then on methods how to deliver them in sufficient quantities.  
444 Moreover many methodological gaps in clinical translation must be issued. Well-  
445 designed, biomarker oriented endpoints and comparative trials are needed to address  
446 specific issues such as type of cells, cell doses, responsive phenotypes and time window  
447 of efficacy.

448  
449  
450 Rather interestingly, transplantation of stem cells into brain tissue very rarely brings  
451 any significant side effects. Probably the most well defined are those ones linked to  
452 dyskinesia observed in transplantation to patients suffering from Parkinson disease.  
453 However, methods to predict which patients are more prone to those side effects have  
454 been already developed. It is interesting to notice that no serious effects coming from  
455 uncontrolled electrical activity of such cells have been reported. On the other hand,  
456 common obstacles observed is a limited period of activity of such cells, with very time  
457 limited secretion of needed molecules. Thus the main focus is in securing longer and more  
458 substantial effects of secretome.

## 459 460 **11. Regenerative medicine for brain and heart – shared hurdles and achievements**

461 In this review we gathered experience from the last few decades dealing with  
462 attempts to treat diseases of heart and brain (primarily ischemic in its nature) by using  
463 stem cells and their products. When we take a general overview what has been achieved  
464 with replacement strategy, ie approach in which transplanted cells will replace lost ones  
465 in the host tissue, results are very limited. With few exceptions: replacement therapy  
466 seems to be very promising in the case where a very specific subpopulation in the very  
467 small region need to be replaced and this is the case in Parkinson disease. In this case,  
468 results are very good. In all other cases, especially in brain ischemia (Stroke) and  
469 myocardial infarction, transplanted cells still hardly can replace what has been lost. It is  
470 very interesting to notice that we expected probably much more from this approach in the  
471 heart tissue, which is, in theory, much less complex, that neural one. However, cells which  
472 succeeded to survive there for a longer period hardly can coordinate their activity with  
473 rest of the healthy muscle and most interestingly, they often cause problematic  
474 arrhythmias. It is important to notice that arrhythmias in the heart muscle are much more  
475 common problem of stem cell transplantation than uncontrolled electric activity of the  
476 transplant in the brain.

477  
478 When we take a look into humoral effects of transplanted cells, experience from both  
479 organs, heart and brain fully support this strategy as the right one. This has been even

480 more boosted by the discovery of several types of extracellular vesicles which carry short  
481 sequences of RNA, peptides, growth factors, etc. In both organs, products of transplanted  
482 cells clearly influence inflammation and in most of the cases, with measurable effects  
483 decrease damage. One of the probably most surprising effects, again seen in both heart  
484 and brain is that those effects are often more pronounced in chronic than in acute phase.  
485 Thus overall survival and improvement of major parameters often offers stastical  
486 significance when patients are followed after 6, 12 or 48 months. Although this is partly  
487 in discrepancy with the fact that majority of transplanted cells soon after transplantation  
488 disappear, it seems that remaining cells produce measurable benefits in the long run.

489  
490 Another common point where brain and heart helped each other is a piece of  
491 knowledge about need of standardization of products secreted by stem cells.  
492 Standardization is not only needed in order to cause more comparable results but also to  
493 better define routes of delivery. When this will be achieved, and many efforts are currently  
494 being undertaken in that direction, one can imagine repetitive injection of solutions with  
495 extracellular vesicles, which will improve regeneration of either neural or cardiac muscle  
496 tissue. When this procedure enters a routine everyday practice, we will be witnessing the  
497 final confirmation of the value of regenerative medicine in the treatment of major human  
498 diseases.

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